Quantification in Dynamic Epistemic Logic Day 1

Rustam Galimullin & Louwe B. Kuijer

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Hi.

Rustam Galimullin & Louwe B. Kuijer

This course

- Welcome to the "Quantification in Dynamic Epistemic Logic" course.
- I am Louwe Kuijer.
- I will be teaching this course together with Rustam Galimullin.

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- Information Change Done Systematically
- 4 Public announcements
- 5 Substitutions
- 6 Arrow Updates
- 7 Reduction axioms, expressivity and decidability
- Opdate Expressivity
- 9 Conclusion

Overview

Course overview

- 5 days, 1 lecture each.
 - Day 1: Non-quantified DEL.
 - Day 2: APAL and friends.
 - Day 3: GAL and CAL.
 - Day 4: Group knowledge.
 - Day 5: AAML and AAUML.
- See course website for more details.
 - (Linked from Discord and ESSLLI course catalogue.)

Further reading

- Most of this course is based directly on research papers (as opposed to textbooks and handbooks).
- As a result: not a lot of easy reading on this topic.
- Website does provide list of papers for further reading.
- But: expect those to be highly detailed and technical.

Exercises

- We have written some exercises that you can do to test yourself.
- They are, of course, completely optional.
- Solutions will not be published or discussed during the lectures.
- If you want to discuss the exercises: talk to us before or after the lecture.

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Epistemic logic

- Our starting point: epistemic logic (EL).
- Used to represent the information state of one or more agents at a specific point in time.

Epistemic logic: language

Definition

The language of epistemic logic (EL) is given by

$$\varphi ::= p \mid \neg \varphi \mid \varphi \lor \varphi \mid \Box_{a} \varphi$$

where $a \in A$ and $p \in P$.

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where $a \in A$ and $p \in P$.

- As usual: $\land, \rightarrow, \leftrightarrow$ as abbreviations. Also: \Diamond as dual of \Box .
- $\Box_a \varphi$ read as "agent *a* knows that φ (is true)".
- $\Diamond_a \varphi$ read as "agent *a* considers it possible that φ (is true)".

Epistemic logic: models

Definition

A model of epistemic logic is a triple $M = (S, \{R_a\}_{a \in A}, V)$ where

- S is a set of states (also called worlds),
- for each $a \in A$, $R_a \subseteq S \times S$ is an accessibility relations and
- $V: P \rightarrow 2^S$ is a valuation function.

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Note: in general, no reflexivity/transitivity/symmetry assumptions on R_a . When we do assume that the relation is an equivalence, write \sim_a for R_a .

Epistemic logic: semantics

Semantics are as usual.

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Definition

The *satisfaction relation* \models is given by

$$\begin{array}{lll} M,s \models p & \Leftrightarrow & s \in V(p), \\ M,s \models \neg \varphi & \Leftrightarrow & M,s \not\models \varphi, \\ M,s \models \varphi \lor \psi & \Leftrightarrow & M,s \models \varphi \text{ or } M,s \models \psi, \\ M,s \models \Box_a \varphi & \Leftrightarrow & \forall s' \in S: \text{ if } (s,s') \in R_a \text{ then } M,s' \models \varphi. \end{array}$$

Example: cards (simple)

Situation:

- Agents: Rustam (r) and Louwe (I).
- Two cards from standard deck of playing cards, placed face down on table.
- We only care about whether the cards are red or black.

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- Interesting thing about knowledge and information: they tend to change over time.
- Model represents specific information state.
- Information change therefore requires model change.

Situation:

• Card distribution as before.

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- Now: I look at the first card, without showing it to Rustam.

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Getting to the point

• Oy, Louwe! Those examples are insultingly simple, why did you show them to us?

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Getting to the point

- Oy, Louwe! Those examples are insultingly simple, why did you show them to us?
- Answer: while they are simple, there is a point to them.
- Note that we *can* reason about information change using EL as opposed to DEL. (We just did.)
- But: it's relatively hard.

Reasoning about information change: EL vs. DEL

EL Ad-hoc Analyze twice Lots of effort Meta-logical

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Model transformers

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Model transformers

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- How would we do this?
- Take information changing event e.
- Effect of e is to change information state,
- Information state = pointed Kripke model.
- Initial model M_s turns into model $M * e_s$.
- In other words: *e* is a function that transforms models.

Updates as functions

- $\bullet\,$ Let ${\mathfrak M}$ be the class of pointed models.
- Event *e* is a function $e: \mathfrak{M} \to \mathfrak{M}$.

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- Event *e* is a function $e: \mathfrak{M} \to \mathfrak{M}$.
- (Actually: partial function.)

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- M = (S, R, V).
- Option 1: event changes S: public announcement.
- Option 2: event changes R_a : arrow updates.
- Option 3: event changes V: substitutions.
- Option 4: all of the above: action models, arrow update models. (Discussed later this week.)

Simplifying vs. "complexifying"

- Public announcements, arrow updates and substitution reduce, or at least do not increase, the complexity of a model.
- Action models and arrow update models do increase complexity.
- We start by considering the three simplifying update types.

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Public announcements

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Public announcements

- Public announcements change a model by restricting the set of worlds.
- Not just any restriction, though: must be definable.
- Specifically: announcement ψ restricts S to $S \cap \llbracket \psi \rrbracket_M$.

Definition

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- $S * \psi = \{ s \in S \mid M, s \models \psi \}$,
- $(R * \psi)_a = R_a \cap (S * \psi \times S * \psi),$
- $V * \psi(p) = V(p) \cap S * \psi$.

• Same cards example as before.



- Same cards example as before.
- Now, instead of privately looking at a card, I publicly show that the first card is red.



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- Announcement: r_1 .



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- Same cards example as before.
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Ad-hoc	Systematic
Analyze twice	Analyze two things
Lots of effort	Easy(ish)
Meta-logical	Meta-logical

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• But eventually we do of course want to add announcements to the language.

Public Announcement Logic

Definition

The language of public announcement logic (PAL) is given by

 $\varphi ::= p \mid \neg \varphi \mid \varphi \lor \varphi \mid \Box_{a} \varphi \mid [\varphi] \varphi$

where $a \in A$ and $p \in P$.

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where $a \in A$ and $p \in P$.

• $\langle \varphi \rangle$ as dual of $[\varphi]$.

PAL: semantics

Definition

The *satisfaction relation* \models is extended with

$$egin{aligned} \mathsf{M},\mathsf{s} \models [arphi]\psi & \Leftrightarrow & [arphi](\mathsf{M},\mathsf{s}) \models \psi \end{aligned}$$

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PAL: semantics

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The *satisfaction relation* \models is extended with

$$M, s \models [arphi] \psi \iff ext{if } [arphi](M, s) ext{ exists then } [arphi](M, s) \models \psi$$

Equivalent to: $M, s \models [arphi] \psi \iff ext{if } M, s \models arphi ext{ then } M * [arphi], s \models \psi$

.
Ways to do information change

EL	Not yet PAL	PAL
Ad-hoc	Systematic	Systematic
Analyze twice	Analyze two things	Analyze two things
Lots of effort	Easy(ish)	Easy(ish)
Meta-logical	Meta-logical	In object language

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Next up: substitutions

- We have discussed public announcements.
- Arrow updates are more complicated, so we leave them for later.
- First, we discuss substitutions (a.k.a. assignments).

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- Public announcements change S^{1} .
- Arrow updates change R.
- Substitutions change V.
- This means that substitutions represent factual change instead of information change.
- This course is about information change, so we won't say much about substitutions.
- But we do briefly discuss them for the sake of completeness.

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• Substitutions take form $[p_1 := \varphi_1, \cdots, p_n := \varphi_n].$

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- Substitutions take form $[p_1 := \varphi_1, \cdots, p_n := \varphi_n]$.
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- Formally: let $\sigma = [p_1 := \varphi_1, \cdots, p_n := \varphi_n]$. Then $M * \sigma = (S, R, V * \sigma)$ where

$$\mathcal{V} * \sigma(p) = \left\{ egin{array}{cc} \llbracket arphi_i
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• Effect is global, i.e., common knowledge.



• Suppose I replace the first card by a black one.



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- Represented by $[r_1 := \bot]$



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• Suppose I switch around the two cards.



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- Suppose I switch around the two cards.
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Substitutions in a logical language

Definition

The language of epistemic logic with factual change (EL+[σ]) is given by

$$\varphi ::= p \mid \neg \varphi \mid \varphi \lor \varphi \mid \Box_{\mathsf{a}} \varphi \mid [\sigma] \varphi$$

$$\sigma ::= \epsilon \mid \sigma, p := \varphi$$

where $a \in A, p \in P$ and ϵ is the empty sequence.

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$$M, s \models [\sigma] \varphi \quad \Leftrightarrow \quad [\sigma](M, s) \models \varphi$$

where $[\sigma](M, s) = M * [\sigma], s$.

Systematic

- Note: as with PAL, we do not need substitutions in the language to do factual change systematically.
- But having them in the language still helps, by allowing in-logic reasoning.

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- But I must admit: they are rather complicated.
- So before looking at the details: brief high level overview.

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 - Information is gained, not lost.
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 - Information is gained, not lost.
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 - These three conditions are common knowledge.
- Arrow updates relax the 2nd condition: agents may gain different information.
- As a result: not common knowledge what information is gained.
- But: still required to be common knowledge what information is gained under what circumstances.

Arrow updates: example

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Arrow updates: example

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- Recall: example of me looking at the first card.
- Not all agents gain the same information (Rustam does not see the card, I do).
- But Rustam does know the conditions for my information gain: if the card is read I will learn r_1 , if it is black I will learn $\neg r_1$.
- Hence this is an arrow update.



Arrow updates: syntax

- An arrow update must specify what an agent will learn under what conditions.
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- An arrow update must specify what an agent will learn under what conditions.
- So three parts: condition, agent and information learned.
- Left to decide: specify information learned as (i) what remains possible or (ii) what becomes impossible.
- With public announcements, we specify what remains possible ([φ] means φ worlds remain).
- We follow that convention for arrow updates.

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- Meaning: if φ is true, then from a's point of view ψ remains possible.
- Semantically: $\varphi \stackrel{a}{\mapsto} \psi$ means that *a*-arrow from φ world to ψ world is retained.
- Arrow update consists of set of such clauses.
- Every arrow matching no clause is deleted.



Arrow updates: formally

Definition

The language of arrow update logic (AUL) is given by

$$\varphi ::= p \mid \neg \varphi \mid \varphi \lor \varphi \mid \Box_{a}\varphi \mid [U]\varphi$$
$$U ::= \epsilon \mid U, \varphi \stackrel{a}{\mapsto} \psi$$

where $a \in A, p \in P$ and ϵ is the empty sequence.

Arrow updates: semantics

•
$$M * [U] = (W, R * [U], V)$$

• $(s_1, s_2) \in R * [U]_a$ iff $(s_1, s_2) \in R_a$ and
 $\exists (\varphi \stackrel{a}{\mapsto} \psi) \in U : M, s_1 \models \varphi \text{ and } M, s_2 \models \psi.$

Satisfaction relation \models is extended with

•
$$M, s \models [U]\varphi$$
 iff $M * [U], s \models \varphi$.

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- For me: if r_1 is true, then I learn that $\neg r_1$ is false, so r_1 is all that remains possible.

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- Clause: $r_1 \stackrel{l}{\mapsto} r_1$.
- Similarly: $\neg r_1 \stackrel{l}{\mapsto} \neg r_1$.

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- For Rustam: no change, i.e., in every situation every other situation remains possible.
- Clause: $\top \stackrel{r}{\mapsto} \top$.
- For me: if r_1 is true, then I learn that $\neg r_1$ is false, so r_1 is all that remains possible.
- Clause: $r_1 \stackrel{l}{\mapsto} r_1$.
- Similarly: $\neg r_1 \stackrel{l}{\mapsto} \neg r_1$.
- No further clauses: update U given by $U = \{ \top \stackrel{r}{\mapsto} \top, r_1 \stackrel{l}{\mapsto} r_1, \neg r_1 \stackrel{l}{\mapsto} \neg r_1 \}.$

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Table of Contents

- Reduction axioms, expressivity and decidability

Axiomatization

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- In a moment, we'll discuss axiomatizations for DEL.
- First, however, brief reminder of axiomatization for EL.
- Well known proof system K:

$$\begin{array}{ll} (\mathsf{Prop}) & \mathsf{Any \ substitution \ instance \ of \ a \ validity \ of \ propositional \ logic} \\ (\mathsf{K}) & \Box(phi \rightarrow \psi) \rightarrow (\Box \varphi \rightarrow \Box \psi) \\ (\mathsf{Necc}) & \mathsf{From} \vdash \varphi, \ \mathsf{infer} \vdash \Box \varphi \\ (\mathsf{MP}) & \mathsf{From} \ \varphi \rightarrow \psi \ \mathsf{and} \ \varphi, \ \mathsf{infer} \ \psi \end{array}$$

Completeness

- Proof in K is a finite, numbered list of formulas.
- Each line in proof is justified by (1) being a premise, (2) an axiom of **K** or (3) applying a rule of **K** to earlier line(s).
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- Notation $\Gamma \vdash \varphi$.
- Famously, K is sound and strongly complete.
- So, in some sense, all there is to know about basic modal logic.

Predictable

• Public announcements, arrow updates and substitutions change agents' knowledge.

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- But: the old situation determines the new one.
- \bullet So it is unsurprising that whether φ holds in the new situation can be predicted from the old one.
- These predictions can be encoded as axioms.

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- If σ doesn't assign a value to p then $\models [\sigma]p \leftrightarrow p$.

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- The update $[\sigma]$, considered as a model transformer, is also a function.
- Hence: $\models [\sigma] \neg \varphi \leftrightarrow \neg [\sigma] \varphi$.
- Similarly: $\models [\sigma](\varphi \lor \psi) \leftrightarrow ([\sigma]\varphi \lor [\sigma]\psi).$

• Finally: substitutions are public and do not affect distinguishability of worlds.

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- This implies that $\models [\sigma] \Box_{a} \varphi \leftrightarrow \Box_{a} [\sigma] \varphi$.

Putting it all together:

$$\begin{aligned} [\sigma] p \leftrightarrow \varphi \\ [\sigma] p \leftrightarrow p \\ [\sigma] \neg \varphi \leftrightarrow \neg [\sigma] \varphi \\ [\sigma] (\varphi \lor \psi) \leftrightarrow ([\sigma] \varphi \lor [\sigma] \psi) \\ [\sigma] \Box_{a} \varphi \leftrightarrow \Box_{a} [\sigma] \varphi \end{aligned}$$

where $p := \varphi$ in σ where p is not assigned a value in σ

are sound axioms.

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- Important property: in each axiom right-hand side has less complex formula inside scope of [σ].
- Consequence: every formula with $[\sigma]$ is provably equivalent to one without.

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• Example: $[p := [q := \Box_a \neg p](p \lor q)] \Box_b \neg p$.

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The many uses of reduction axioms

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- "Free" completeness: axiomatization for EL + reduction axioms for [σ] = axiomatization for EL+[σ].
- **2** "Free" expressivity results: $EL+[\sigma]$ formulas are equivalent to EL formulas.
- "Free" decidability: satisfiability of $EL+[\sigma]$ reduces to satisfiability of EL.

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- Final axioms is more complicated, however.
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- In particular: note that EL, PAL, AUL and $EL+[\sigma]$ all have the same expressivity.
- This is somewhat surprising: PAL, AUL and $EL+[\sigma]$ feel more powerful than EL.
- And they are more powerful, in some sense. Just not in expressivity.

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- Opdate Expressivity
 - Conclusion

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 - **1** Succinctness. The equivalent formula in EL is typically longer.
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 - **③** While they have the same expressivity, their *update expressivity* differs.

Update Expressivity

Expressivity (the normal kind) is about which sets of pointed models can be expressed, i.e., given class X of pointed models, is there a formula φ such that [[φ]] = X?

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- Update expressivity is about which model transformers can be expressed.
- Given a function $f: \mathfrak{M} \to \mathfrak{M}$, is there an update e in the language such that $\llbracket e \rrbracket = f$?

First attempt at a definition:

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Problem 1: equality too strong.

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Problem 2: public announcements are partial functions, not functions.

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Today's overall message:

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- But: the four logics have different update expressivity.