# Quantification in Dynamic Epistemic Logic Day 1 

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Hi .

- Welcome to the "Quantification in Dynamic Epistemic Logic" course.
- I am Louwe Kuijer.
- I will be teaching this course together with Rustam Galimullin.


## Table of Contents

(1) Overview
(2) Introduction
(3) Information Change Done Systematically

4 Public announcements
(5) Substitutions
(6) Arrow Updates
(7) Reduction axioms, expressivity and decidability
(8) Update Expressivity
(9) Conclusion

## Course overview

- 5 days, 1 lecture each.

Day 1: Non-quantified DEL.
Day 2: APAL and friends.
Day 3: GAL and CAL.
Day 4: Group knowledge.
Day 5: AAML and AAUML.

- See course website for more details.
(Linked from Discord and ESSLLI course catalogue.)


## Further reading

- Most of this course is based directly on research papers (as opposed to textbooks and handbooks).
- As a result: not a lot of easy reading on this topic.
- Website does provide list of papers for further reading.
- But: expect those to be highly detailed and technical.


## Exercises

- We have written some exercises that you can do to test yourself.
- They are, of course, completely optional.
- Solutions will not be published or discussed during the lectures.
- If you want to discuss the exercises: talk to us before or after the lecture.


## Table of Contents

(1) Overview
(2) Introduction
(3) Information Change Done Systematically4 Public announcements
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## Epistemic logic

- Our starting point: epistemic logic (EL).
- Used to represent the information state of one or more agents at a specific point in time.


## Epistemic logic: language

Definition
The language of epistemic logic (EL) is given by

$$
\varphi::=p|\neg \varphi| \varphi \vee \varphi \mid \square_{a} \varphi
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where $a \in A$ and $p \in P$.

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where $a \in A$ and $p \in P$.

- As usual: $\wedge, \rightarrow, \leftrightarrow$ as abbreviations. Also: $\diamond$ as dual of $\square$.
- $\square_{a} \varphi$ read as "agent a knows that $\varphi$ (is true)".
- $\nabla_{a} \varphi$ read as "agent a considers it possible that $\varphi$ (is true)".

Epistemic logic: models

## Definition

A model of epistemic logic is a triple $M=\left(S,\left\{R_{a}\right\}_{a \in A}, V\right)$ where

- $S$ is a set of states (also called worlds),
- for each $a \in A, R_{a} \subseteq S \times S$ is an accessibility relations and
- $V: P \rightarrow 2^{S}$ is a valuation function.

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Note: in general, no reflexivity/transitivity/symmetry assumptions on $R_{\mathrm{a}}$.

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## Epistemic logic: semantics

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## Definition

The satisfaction relation $\models$ is given by

$$
\begin{array}{ll}
M, s \models p & \Leftrightarrow s \in V(p), \\
M, s \models \neg \varphi & \Leftrightarrow M, s \neq \varphi, \\
M, s \models \varphi \vee \psi & \Leftrightarrow M, s \models \varphi \text { or } M, s \models \psi, \\
M, s \models \square_{a} \varphi & \Leftrightarrow \quad \forall s^{\prime} \in S: \text { if }\left(s, s^{\prime}\right) \in R_{a} \text { then } M, s^{\prime} \models \varphi .
\end{array}
$$

Example: cards (simple)

## Situation:

- Agents: Rustam (r) and Louwe (I).
- Two cards from standard deck of playing cards, placed face down on table.
- We only care about whether the cards are red or black.

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(s3)
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- Information change therefore requires model change.

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## Getting to the point

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- Oy, Louwe! Those examples are insultingly simple, why did you show them to us?
- Answer: while they are simple, there is a point to them.
- Note that we can reason about information change using EL as opposed to DEL. (We just did.)
- But: it's relatively hard.


## Reasoning about information change: EL vs. DEL

| EL |  |
| :--- | :--- |
| Ad-hoc |  |
| Analyze twice |  |
| Lots of effort |  |
| Meta-logical |  |

## Table of Contents

(1) Overview
2 Introduction
(3) Information Change Done Systematically
(4) Public announcements
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- Information state $=$ pointed Kripke model.
- Initial model $M_{s}$ turns into model $M * e_{s}$.
- In other words: $e$ is a function that transforms models.


## Updates as functions

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- (Actually: partial function.)


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- Option 3: event changes $V$ : substitutions.


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- $M=(S, R, V)$.
- Option 1: event changes $S$ : public announcement.
- Option 2: event changes $R_{a}$ : arrow updates.
- Option 3: event changes $V$ : substitutions.
- Option 4: all of the above: action models, arrow update models. (Discussed later this week.)


## Simplifying vs. "complexifying"

- Public announcements, arrow updates and substitution reduce, or at least do not increase, the complexity of a model.
- Action models and arrow update models do increase complexity.
- We start by considering the three simplifying update types.


## Table of Contents

```
(1) Overview
2 introduction
(3) Information Change Done Systematically
```

4 Public announcements
(5) Substitutions
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- Not just any restriction, though: must be definable.
- Specifically: announcement $\psi$ restricts $S$ to $S \cap \llbracket \psi \rrbracket_{M}$.


## Public announcements: formally

## Definition

Let $M=(S, R, V)$ be a model and $\psi$ a formula. Then $M * \psi=(S * \psi, R * \psi, V * \psi)$ where

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- $(R * \psi)_{a}=R_{a} \cap(S * \psi \times S * \psi)$,
- $V * \psi(p)=V(p) \cap S * \psi$.


## Public announcements: simple example

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| Analyze twice | Analyze two things |
| Lots of effort | Easy(ish) |
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- But eventually we do of course want to add announcements to the language.


## Public Announcement Logic

## Definition

The language of public announcement logic (PAL) is given by

$$
\varphi::=p|\neg \varphi| \varphi \vee \varphi\left|\square_{a} \varphi\right|[\varphi] \varphi
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where $a \in A$ and $p \in P$.

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- $\langle\varphi\rangle$ as dual of $[\varphi]$.


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The satisfaction relation $\models$ is extended with

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Equivalent to: $M, s \models[\varphi] \psi \quad \Leftrightarrow \quad$ if $M, s \models \varphi$ then $M *[\varphi], s \models \psi$.

Ways to do information change

| EL | Not yet PAL | PAL |
| :--- | :--- | :--- |
| Ad-hoc | Systematic | Systematic |
| Analyze twice | Analyze two things | Analyze two things |
| Lots of effort | Easy(ish) | Easy(ish) |
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## Table of Contents

(1) Overview
(2) introduction
(3) Information Change Done Systematically
(4) Public announcements
(5) Substitutions
(6) Arrow Updates
(7) Reduction axioms, expressivity and decidability
(3) Update Expressivity
(9) Conclusion

## Next up: substitutions

- We have discussed public announcements.
- Arrow updates are more complicated, so we leave them for later.
- First, we discuss substitutions (a.k.a. assignments).


## Factual change

- Public announcements change $S .{ }^{1}$


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## Factual change

- Public announcements change $S .{ }^{1}$
- Arrow updates change $R$.
- Substitutions change $V$.
- This means that substitutions represent factual change instead of information change.
- This course is about information change, so we won't say much about substitutions.
- But we do briefly discuss them for the sake of completeness.

[^4]
## Substitutions

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- Formally: let $\sigma=\left[p_{1}:=\varphi_{1}, \cdots, p_{n}:=\varphi_{n}\right]$. Then $M * \sigma=(S, R, V * \sigma)$ where

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- Effect is global, i.e., common knowledge.


## Substitutions: example 1



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## Substitutions in a logical language

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where $[\sigma](M, s)=M *[\sigma], s$.

## Systematic

- Note: as with PAL, we do not need substitutions in the language to do factual change systematically.
- But having them in the language still helps, by allowing in-logic reasoning.


## Table of Contents

(1) Overview
(2) Introduction
(3) Information Change Done Systematically
(4) Public announcements
(5) Substitutions
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(7) Reduction axioms, expressivity and decidability
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## And now, arrow updates

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- So before looking at the details: brief high level overview.


## Introducing: arrow updates

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(3) These three conditions are common knowledge.
- Arrow updates relax the 2nd condition: agents may gain different information.
- As a result: not common knowledge what information is gained.
- But: still required to be common knowledge what information is gained under what circumstances.


## Arrow updates: example

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- But Rustam does know the conditions for my information gain: if the card is read I will learn $r_{1}$, if it is black I will learn $\neg r_{1}$.
- Hence this is an arrow update.


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- Left to decide: specify information learned as (i) what remains possible or (ii) what becomes impossible.
- With public announcements, we specify what remains possible ([ $\varphi$ ] means $\varphi$ worlds remain).
- We follow that convention for arrow updates.


## Arrow updates: syntax (continued)

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- Arrow update consists of set of such clauses.


## Arrow updates: syntax (continued)

- Clauses of the form: $\varphi \stackrel{a}{\mapsto} \psi$.
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- Arrow update consists of set of such clauses.
- Every arrow matching no clause is deleted.


## Arrow updates: formally

## Definition

The language of arrow update logic (AUL) is given by

$$
\begin{aligned}
& \varphi::=p|\neg \varphi| \varphi \vee \varphi\left|\square_{a} \varphi\right|[U] \varphi \\
& U::=\epsilon \mid U, \varphi \stackrel{a}{\mapsto} \psi
\end{aligned}
$$

where $a \in A, p \in P$ and $\epsilon$ is the empty sequence.

## Arrow updates: semantics

- $M *[U]=(W, R *[U], V)$
- $\left(s_{1}, s_{2}\right) \in R *[U]_{a}$ iff $\left(s_{1}, s_{2}\right) \in R_{a}$ and

$$
\exists(\varphi \stackrel{a}{\mapsto} \psi) \in U: M, s_{1} \models \varphi \text { and } M, s_{2} \models \psi .
$$

Satisfaction relation $\models$ is extended with

- $M, s \models[U] \varphi$ iff $M *[U], s \models \varphi$.


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- Clause: $r_{1} \stackrel{l}{\mapsto} r_{1}$.
- Similarly: $\neg r_{1} \stackrel{ }{\mapsto} \neg r_{1}$.
- No further clauses: update $U$ given by $U=\left\{T \stackrel{r}{\mapsto} T, r_{1} \stackrel{I}{\mapsto} r_{1}, \neg r_{1} \stackrel{I}{\mapsto} \neg r_{1}\right\}$.


## Arrow updates: example part III

- We just established that $U=\left\{\top \stackrel{r}{\mapsto} \top, r_{1} \stackrel{!}{\mapsto} r_{1}, \neg r_{1} \stackrel{!}{\mapsto} \neg r_{1}\right\}$.



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- We just established that $U=\left\{\top \stackrel{r}{\mapsto} \top, r_{1} \stackrel{\prime}{\mapsto} r_{1}, \neg r_{1} \stackrel{\prime}{\mapsto} \neg r_{1}\right\}$.
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## Table of Contents

## (1) Overview <br> 2 Introduction <br> 3) Information Change Done Systematically

(A) Public announcements
(5) Substitutions
(6) Arrow Updates
(7) Reduction axioms, expressivity and decidability
(8) Update Expressivity
(9) Conclusion

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- Well known proof system K:
(Prop) Any substitution instance of a validity of propositional logic
(K) $\quad \square(p h i \rightarrow \psi) \rightarrow(\square \varphi \rightarrow \square \psi)$
(Necc) From $\vdash \varphi$, infer $\vdash \square \varphi$
(MP) From $\varphi \rightarrow \psi$ and $\varphi$, infer $\psi$


## Completeness

- Proof in $\mathbf{K}$ is a finite, numbered list of formulas.
- Each line in proof is justified by (1) being a premise, (2) an axiom of $\mathbf{K}$ or (3) applying a rule of $\mathbf{K}$ to earlier line(s).


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- Notation「ト $\varphi$.
- Famously, $\mathbf{K}$ is sound and strongly complete.
- So, in some sense, all there is to know about basic modal logic.


## Predictable

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- $[p:=\varphi]$ sets value of $p$ to value of $\varphi$. Hence $M *[p:=\varphi], w \vDash p$ iff $M, w \models \varphi$.
- Result $\models[p:=\varphi] p \leftrightarrow \varphi$.
- If $\sigma$ doesn't assign a value to $p$ then $\models[\sigma] p \leftrightarrow p$.


## Axioms for Substitutions (II)

- Recall that, in modal logic, $\square_{a} \neg \varphi \leftrightarrow \neg \square_{a} \varphi$ characterizes functionality of accessibility relation.


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- The update $[\sigma]$, considered as a model transformer, is also a function.
- Hence: $\vDash[\sigma] \neg \varphi \leftrightarrow \neg[\sigma] \varphi$.
- Similarly: $\vDash[\sigma](\varphi \vee \psi) \leftrightarrow([\sigma] \varphi \vee[\sigma] \psi)$.


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- Finally: substitutions are public and do not affect distinguishability of worlds.


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- This implies that $\models[\sigma] \square_{a} \varphi \leftrightarrow \square_{a}[\sigma] \varphi$.


## Axioms for Substitutions (IV)

Putting it all together:

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& {[\sigma] p \leftrightarrow \varphi} \\
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& {[\sigma] \neg \varphi \leftrightarrow \neg[\sigma] \varphi} \\
& {[\sigma](\varphi \vee \psi) \leftrightarrow([\sigma] \varphi \vee[\sigma] \psi)} \\
& {[\sigma] \square_{a} \varphi \leftrightarrow \square_{a}[\sigma] \varphi}
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$$
\text { where } p:=\varphi \text { in } \sigma
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where $p$ is not assigned a value in $\sigma$
are sound axioms.

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- Important property: in each axiom right-hand side has less complex formula inside scope of $[\sigma]$.


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- Important property: in each axiom right-hand side has less complex formula inside scope of $[\sigma]$.
- Consequence: every formula with $[\sigma]$ is provably equivalent to one without.


## Using reduction axioms

- Example: $\left[p:=\left[q:=\square_{a} \neg p\right](p \vee q)\right] \square_{b} \neg p$.


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(3) "Free" decidability: satisfiability of $E L+[\sigma]$ reduces to satisfiability of EL.

## Axioms for public announcements

- We can do the same for public announcements.
- Small complication: $[\varphi]$ is a partial function. To compensate: add a bunch of $\varphi \rightarrow \cdots$ conditions.

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- $\vDash[U] \square_{a} \varphi \leftrightarrow \wedge_{\left(\psi_{1}, a, \psi_{2}\right) \in U}\left(\psi_{1} \rightarrow \square_{a}\left(\psi_{2} \rightarrow[U] \varphi\right)\right)$


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- And they are more powerful, in some sense. Just not in expressivity.


## Table of Contents

```
(1)Overview
(2) Introduction
(3) Information Change Done Systematically
(4) Public announcements
(5) Substitutions
(3) Arrow Updates
```

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- Update expressivity is about which model transformers can be expressed.
- Given a function $f: \mathfrak{M} \rightarrow \mathfrak{M}$, is there an update $e$ in the language such that $\llbracket e \rrbracket=f$ ?


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Problem 1: equality too strong.

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Problem 2: public announcements are partial functions, not functions.

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AUL
$\mathrm{EL}+[\sigma]$

EL

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- Existence of reduction axioms shows that EL, EL+[ $\sigma$ ], PAL and AUL have same expressivity and are decidable.
- But: the four logics have different update expressivity.


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